



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Christiane Yoakim et al) Art Unit: 1626
U.S. Appln. No. : 10/662,856) Examiner: To be assigned
Confirmation No. : 2131
U.S. Filing Date : September 15, 2003
Title of Invention : Non-Nucleoside Reverse Transcriptase Inhibitors
Attny. Docket No. : 13/086

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

February 11, 2004

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith concerning the subject application is an Information Disclosure Statement (Form 1449A/B) under 37 C.F.R. §§1.56 and 1.97, as more specifically described hereinbelow.

☒ 1.97(b). This Statement is being filed: i) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53 (d); ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; iii) before the mailing of a first Office action on the merits; or iv) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

☐ 1.97(c). This Statement is being filed after the time period specified in 37 C.F.R. §1.97(b), but before the mailing date of: i) a final action under 37 C.F.R. §1.113, ii) a notice of allowance under 37 C.F.R. §1.311, or iii) an action that otherwise closes prosecution in the application. This Statement is being accompanied by:

☐ A statement as specified in 37 C.F.R. §1.97(e) [see below]; or

☐ The fee set forth in 37 C.F.R. §1.17(p).

☐ The Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No.

02-2955.

☐ 1.97(d). This Statement is being filed after the period specified in 37 C.F.R. §1.97(c) but on or before payment of the issue fee. This Statement is accompanied by a statement as specified in 37 C.F.R. §1.97(e) [see below] and the fee set forth in 37 C.F.R. §1.17(p).

☐ 1.97(e).

☐ Each item of information contained in the instant information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the instant information disclosure statement; or

☐ No item of information contained in the instant information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the instant information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three (3) months prior to the filing of the instant information disclosure statement.

☐ The fee set forth in 37 C.F.R. §1.17(p).

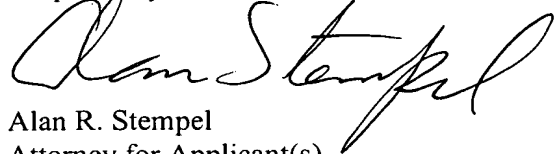
☐ The Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.704(d). Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, which communication was not received by any individual designated in section 1.56(c) more than thirty (30) days prior to the filing of the accompanying information disclosure statement.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment of same, to Deposit Account No. 02-2955.

Triplicate copies of this form are enclosed.

Respectfully submitted,



Alan R. Stempel
Attorney for Applicant(s)
Reg. No. 28,991

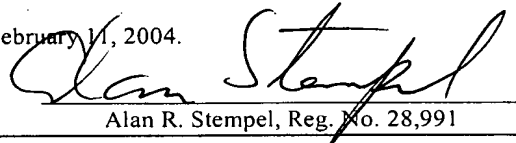
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900 Ridgebury Road, P.O. Box 368
Ridgefield, CT 06877
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Alan R. Stempel, Reg. No. 28,991



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2

Complete if Known

Application Number

10/662.856

Filing Date

September 15, 2003

First Named Inventor

Christiane Yoakim

Art Unit

1626

Examiner Name

To be assigned

Attorney Docket Number

13/086

U. S. PATENT DOCUMENTS

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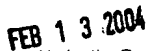
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Complete if Known

Application Number

10/662.856

Filing Date

September 15, 2003

First Named Inventor

Christiane Yoakim

Art Unit

1626

Examiner Name

To be assigned

Attorney Docket Number

13/086

NON PATENT LITERATURE DOCUMENTS

Examiner
Initials*Cite
No.¹

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

 τ^2

JANICE M. KLUNDER ET AL; Novel Nonnucleoside Inhibitors of HIV-1 Reverse Transcriptase. 7. 8-Arylethylpyridodiazepinones as Potent Broad-Spectrum Inhibitors of Wild-Type and Mutant Enzymes; J. Med. Chem 1998 Vol. 41 pages 2960-2971; American Chemical Society

CHARLES L. CYWIN ET AL; Novel Nonnucleoside Inhibitors of HIV-1 Reverse Transcriptase. 8-Aryloxymethyl- and 8-Arylthiomethylpyridodiazepinones; J. Med. Chem 1998 Vol. 41 pages 2972-2984; American Chemical Society

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